U.S. carrier, or foreign air carrier where provided for by bilateral agreement, that is not operating scheduled service at the airport and has not failed to operate slots obtained in the previous lottery, or slots traded for those obtained by lottery, but wishes to initiate scheduled passenger service at the airport, shall be included in the lottery if that operator notifies, in writing, the Slot Administration Office, AGC-230, Office of the Chief Counsel, Federal Aviation Administration, 800 Independence Avenue, SW., Washington, DC 20591. The notification must be received 15 days prior to the lottery date and state whether there is any common ownership or control of, by, or with any other air carrier or commuter operator as defined in §93.213(c). New entrant and limited incumbent carriers will be permitted to complete their selections before participation by other incumbent carriers is initiated.

- (f) At the lottery, each operator must make its selection within 5 minutes after being called or it shall lose its turn. If capacity still remains after each operator has had an opportunity to select slots, the allocation sequence will be repeated in the same order. An operator may select any two slots available at the airport during each sequence, except that new entrant carriers may select four slots, if available, in the first sequence.
- (g) To select slots during a slot lottery session, a carrier must have appropriate economic authority for scheduled passenger service under Title IV of the Federal Aviation Act of 1958, as amended (49 U.S.C. App. 1371 et seq.), and must hold FAA operating authority under part 121 or part 135 of this chapter as appropriate for the slots the operator seeks to select.
- (h) During the first selection sequence, 25 percent of the slots available but no less than two slots shall be reserved for selection by new entrant carriers. If new entrant carriers do not select all of the slots set aside for new entrant carriers, limited incumbent carriers may select the remaining slots. If every participating new entrant carrier and limited incumbent carrier has ceased selection of available slots or has obtained 12 slots at that airport, other incumbent carriers

may participate in selecting the remaining slots; however, slots selected by non-limited incumbent carriers will be allocated only until the date of the next lottery.

(i) Slots obtained under this section shall retain their withdrawal priority as established under §93.223. If the slot is newly created, a withdrawal priority shall be assigned. That priority number shall be higher than any other slot assigned a withdrawal number previously.

[Doc. No. 24105, 50 FR 52195, Dec. 20, 1985, as amended by Amdt. 93–52, 51 FR 21718, June 13, 1986; Amdt. 93–58, 54 FR 39293, Sept. 25, 1989; Amdt. 93–65, 57 FR 37314, Aug. 18, 1992; 57 FR 47993, Oct. 21, 1992; Amdt. 93–78, 64 FR 53565, Oct. 1, 19991

# §93.226 Allocation of slots in low-demand periods.

- (a) If there are available slots in the following time periods and there are no pending requests for international or EAS operations at these times, FAA will allocate slots upon request on a first-come, first-served basis, as set forth in this section:
- (1) Any period for which a slot is available less than 5 days per week.
- (2) Any time period for which a slot is available for less than a full season.
- (3) For LaGuardia and Washington National Airports:
  - (i) 6:00 a.m.-6:59 a.m.
  - (ii) 10:00 p.m.-midnight.
- (b) Slots will be allocated only to operators with the economic and operating authority and aircraft required to use the slots.
- (c) Requests for allocations under this section shall be submitted in writing to the address listed in §93.221(a)(1) and shall identify the request as made under this section.
- (d) The FAA may deny requests made under this section after a determination that all remaining slots in a particular category should be distributed by lottery.
- (e) Slots may be allocated on a seasonal or temporary basis under this provision.

[Doc. No. 24105, 51 FR 21718, June 13, 1986]

# § 93.227 Slot use and loss.

(a) Except as provided in paragraphs (b), (c), (d), (g), and (l) of this section,

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any slot not utilized 80 percent of the time over a 2-month period shall be recalled by the FAA.

- (b) Paragraph (a) of this section does not apply to slots obtained under §93.225 of this part during:
- (1) The first 90 days after they are allocated to a new entrant carrier; or
- (2) The first 60 days after they are allocated to a limited incumbent or other incumbent carrier.
- (c) Paragraph (a) of this section does not apply to slots of an operator forced by a strike to cease operations using those slots.
- (d) In the case of a carrier that files for protection under the Federal bankruptcy laws and has not received a Notice of Withdrawal from the FAA for the subject slot or slots, paragraph (a) of this section does not apply:
- (1) During a period after the initial petition in bankruptcy, to any slot held or operated by that carrier, for:
- (i) 60 days after the carrier files the initial petition in bankruptcy; and
- (ii) 30 days after the carrier, in anticipation of transferring slots, submits information to a Federal government agency in connection with a statutory antitrust, economic impact, or similar review of the transfer, provided that the information is submitted more than 30 days after filing the initial petition in bankruptcy, and provided further that any slot to be transferred has not become subject to withdrawal under any other provision of this \$93.227: and
- (2) During a period after a carrier ceases operations at an airport, to any slot held or operated by that carrier at that airport, for:
- (i) 30 days after the carrier ceases operations at that airport, provided that the slot has not become subject to withdrawal under any other provision of this §93.227; and
- (ii) 30 days after the parties to a proposed transfer of any such slot comply with requests for additional information by a Federal government agency in connection with an antitrust, economic impact, or similar investigation of the transfer, provided that—
- (A) The original notice of the transfer is filed with the Federal agency within 30 days after the carrier ceases operation at the airport;

- (B) The request for additional information is made within 10 days of the filing of the notice by the carrier;
- (C) The carrier submits the additional information to the Federal agency within 15 days of the request by such agency; and
- (D) Any slot to be transferred has not become subject to withdrawal under any other provision of this §93.227.
- (e) Persons having slots withdrawn pursuant to paragraph (a) of this section must cease all use of those slots upon receipt of notice from the FAA.
- (f) Persons holding slots but not using them pursuant to the provisions of paragraphs (b), (c) and (d) may lease those slots for use by others. A slot obtained in a lottery may not be leased after the expiration of the applicable time period specified in paragraph (b) of this section unless it has been operated for a 2-month period at least 65 percent of the time by the operator which obtained it in the lottery.
- (g) This section does not apply to slots used for the operations described in §93.217(a)(1) except that a U.S. air carrier or commuter operator required to file a report under paragraph (i) of this section shall include all slots operated at the airport, including slots described in §93.217(a)(1).
- (h) Within 30 days after an operator files for protection under the Federal bankruptcy laws, the FAA shall recall any slots of that operator, if—(1) the slots were formerly used for essential air service and (2) the Office of the Secretary of Transportation determines those slots are required to provide substitute essential air service to or from the same points.
- (i) Every air carrier and commuter operator or other person holding a slot at a high density airport shall, within 14 days after the last day of the 2month period beginning January 1, 1986, and every 2 months thereafter, forward, in writing, to the address identified in §93.221(a)(1), a list of all slots held by the air carrier, commuter operator or other person along with a listing of which air carrier or commuter operator actually operated the slot for each day of the 2-month period. The report shall identify the flight number for which the slot was used and the equipment used, and shall identify

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the flight as an arrival or departure. The report shall identify any common ownership or control of, by, or with any other carrier as defined in §93.213(c) of this subpart. The report shall be signed by a senior official of the air carrier or commuter operator. If the slot is held by an "other person," the report must be signed by an official representative.

- (j) The Chief Counsel of the FAA may waive the requirements of paragraph (a) of this section in the event of a highly unusual and unpredictable condition which is beyond the control of the slot-holder and which exists for a period of 9 or more days. Examples of conditions which could justify waiver under this paragraph are weather conditions which result in the restricted operation of an airport for an extended period of time or the grounding of an aircraft type.
- (k) The Chief Counsel of the FAA may, upon request, grant a waiver from the requirements of paragraph (a) of this section for a slot used for the domestic segment of an intercontinental all-cargo flight. To qualify for a waiver, a carrier must operate the slot a substantial percentage of the time and must return the slot to the FAA in advance for the time periods it will not be used
- (1) The FAA will treat as used any slot held by a carrier at a High Density Traffic Airport on Thanksgiving Day, the Friday following Thanksgiving Day, and the period from December 24 through the first Saturday in January.

[Doc. No. 24105, 50 FR 52195, Dec. 20, 1985, as amended by Amdt. 93–52, 51 FR 21718, June 13, 1986; Amdt. 93–65, 57 FR 37315, Aug. 18, 1992; Amdt. 93–71, 59 FR 58771, Nov. 15, 1994]

# Subpart T—Washington National Airport Traffic Rules

SOURCE: Docket No. 25143, 51 FR 43587, Dec. 3, 1986, unless otherwise noted.

#### § 93.251 Applicability.

This subpart prescribes rules applicable to the operation of aircraft to or from Washington National Airport.

### § 93.253 Nonstop operations.

No person may operate an aircraft nonstop in air transportation between Washington National Airport and another airport that is more than 1,250 miles away from Washington National Airport.

## Subpart U—Special Flight Rules in the Vicinity of Grand Canyon National Park, AZ

SOURCE: Doc. No. 28537, 61 FR 69330, Dec. 31, 1996, unless otherwise noted.

## § 93.301 Applicability.

This subpart prescribes special operating rules for all persons operating aircraft in the following airspace, designated as the Grand Canyon National Park Special Flight Rules Area: That airspace extending from the surface up to but not including 18,000 feet MSL within an area bounded by a line beginning at Lat. 35°55′12″ N., Long. 112°04′05″ W.; east to Lat. 35°55′38″ N., Long. 111°42′12″ W.; north to Lat. 36°16′47″ N., Long. 111°42′17″ W.; to Lat. 36°24′49″ N., Long. 111°47′45″ W.; to Lat. 36°52′23″ N., Long. 111°33′10" W.; west-northwest to Lat.  $36^{\circ}53'37''$  N., Long.  $111^{\circ}38'29''$  W.; southwest to Lat. 36°35'02" N., Long. 111°53′28″ W.; to Lat. 36°21′30″ N., Long.  $112^{\circ}00'03''$  W.; west-northwest to Lat. 36°30′30" N., Long. 112°35′59" W.; southwest to Lat. 36°24′46" N., Long. 112°51′10" W.; thence west along the boundary of Grand Canyon National Park (GCNP) to Lat. 36°14′08" N., Long. 113°10′07" W.; west-southwest to Lat. 36°09'50" N., Long. 114°01′53″ W.; southeast to Lat. 36°06′24" N., Long. 113°58′46" W.; thence south along the boundary of GCNP to Lat. 36°00′23″ N., Long. 113°54′11″ W.; northeast to Lat. 36°02′14″ N., Long. 113°50′16″ W.; to Lat. 36°02′16″ N., Long. 113°48'08" W.; thence southeast along the boundary of GCNP to Lat. 35°58'09" N., Long. 113°45'04" W.; southwest to Lat. 35°54′48" N., Long. 113°50′24" W.; southeast to Lat. 35°41'01" N., Long. 113°35'27" W.; thence clockwise via the 4.2-nautical mile radius of the Peach Springs VORTAC to Lat. 35°28′53" N., Long. 113°27′49" W.; northeast to Lat.  $35^{\circ}42'58''$  N., Long.  $113^{\circ}10'57''$  W.; north to Lat. 35°57′51″ N., Long. 113°11′06″ W.; east to Lat. 35°57'44" N., Long. 112°14'04"